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P.O. Box 1450

Alexandria, VA 22313-1450

On October 3, 2006

TOWNSEND and TOWNSEND and CREW LLP

By:

Mark T. Davis

PATENT

Attorney Docket No. 021396-000200US

Client Ref: AVI-000

RECEIVED

OCT 16 2006

OFFICE OF PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert D. Ivarie et al.

Application No.: 09/173,864

Filed: October 16, 1998

U.S. Patent No. 6,730,822 B1

For: VECTORS IN AVIAN
TRANSGENESIS

Examiner: Sumesh Kaushal

Technology Center/Art Unit: 1636

REQUEST FOR CERTIFICATE OF
CORRECTION

Commissioner for Patents

P.O. Box 1450

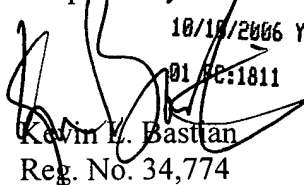
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.323, Applicant submits a Request for Certificate of Correction per the accompanying form PTO-1050, correcting the title of the Patent, and adding an assignee.

Pursuant to 37 CFR §3.81, applicants note that the assignment to the University Georgia Research Foundation, Inc. was submitted for recordation as set forth in 37 CFR §3.11 before the issuance of the patent. The assignment was recorded on Reel/Frame: 010723/0549, on March 20, 2000. The Commissioner is authorized to deduct the fee for this request as set forth in 37 CFR §1.20(a) and the processing fee as set forth in §1.17(i) from deposit account 20-1430, in accordance with the accompanying Fee Transmittal PTO/SB/17.

Respectfully submitted,


Kevin L. Bastian
Reg. No. 34,774

10/18/2006 YPOLITE1 00000000 201430 6730822

01 OCT 18 11

100.00 DA

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor

San Francisco, California 94111-3834

(415) 576-0200

Fax (415) 576-0300

KLB:mtd 60874873v1

(Also Form PTO-1050)

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION****PATENT NO. : 6,730,822 B1****DATED : May 4, 2004****INVENTOR(S) : Ivarie et al.**

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the face sheet next to (54) Title:

change "VECTORS IN AVIAN TRANSGENESIS" to --METHODS FOR PRODUCING
INTERFERON AND ERYTHROPOIETIN--

On the face sheet next to (73) Assignee:

add --University of Georgia Research Foundation, Inc., Athens, GA (US)--

MAILING ADDRESS OF SENDER:

Kevin L. Bastian, Reg. No. 34,774
Townsend and Townsend and Crew, LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834

PATENT NO. 6,730,822 B1

60696082 v1

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/ 44 (08-00)

Approved for use through 09/30/2000. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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OMB control number

(Also Form PTO-1050)

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

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DATED : May 4, 2004

INVENTOR(S) : Ivarie et al.

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ITFUC

Fees pursuant to the Consolidated Appropriations Act, 2005 (P.L. 109-171)

FEE TRANSMITTAL

For FY 2006

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100

Complete if Known

Application Number	09/173,864
Filing Date	October 16, 1998 OCT 16 2006
First Named Inventor	Ivarie, Robert D.
Examiner Name	Sumesh Kaur
Art Unit	1636
Attorney Docket No.	021396-000200US

OFFICE OF PETITIONS

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES Small Entity		SEARCH FEES Small Entity		EXAMINATION FEES Small Entity		Fees Paid (\$)
	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Small Entity Fee (\$)	Small Entity Fee Paid (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ -20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ -3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

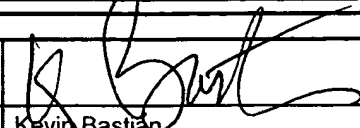
Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	10/11/2006 YPOLITE1 00000003 201430 09173864
Other (e.g., late filing surcharge): Request for Certificate of Correction (100); Processing Fee (130)	01-FC-1464 130.00 200

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	34,774	Telephone	415-576-0200
Name (Print/Type)	Kevin Bastian	Date	October 13, 2006		

10/11/2006 YPOLITE1 00000017 201430 09173864

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JUN 29 2000

HEWM LLP - P.A.

JUNE 23, 2000



24011-0002 05
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

PTAS

HELLER EHRMAN WHITE & MCAULIFFE LLP
WILLIAM SCHMONSEES
525 UNIVERSITY AVENUE
PALO ALTO, CA 94301-1900

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OCT 16 2006

OFFICE OF PETITIONS

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/20/2000

REEL/FRAME: 010723/0549
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

IVARIE, ROBERT D.

DOC DATE: 08/09/1999

ASSIGNOR:

MORRIS, JULIE A.

DOC DATE: 08/10/1999

ASSIGNOR:

LIU, GUODONG

DOC DATE: 08/10/1999

ASSIGNEE:

GEORGIA RESEARCH FOUNDATION, INC.,
UNIVERSITY OF, THE
BOYD GRADUATE STUDIES RESEARCH
CENTER
ATHENS, GEORGIA 30602-7411

SERIAL NUMBER: 09173864
PATENT NUMBER:

FILING DATE: 10/16/1998
ISSUE DATE:

dkm

010723/0549 PAGE 2

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDATION FOR

04-27-2000

U.S. Department of Commerce

Patent and Trademark Office

MRD 3.20.00

2000 MAR 20

PATENT



101335286

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

OFFICE OF FINANCE

1. Name of conveying party(ies):

1. Robert D. Ivarie
2. Julie A. Morris
3. Guodong Liu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The University of Georgia Research
Foundation, Inc.
Street Address: Boyd Graduate Studies Research Center
City: Athens State: GA ZIP: 30602-7411

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ ASSIGNMENT

Execution Date: 1. 8/9/99, 2. 8/10/99, 3. 8/10/99

OFFICE OF PETITIONS

4. Application number(s) or patent number(s):

Attorney Docket No. 24011-0002

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

S.N. 09/173,864

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Heller Ehrman White & McAuliffe LLP

Street Address: 525 University Avenue

City: Palo Alto State: CA Zip: 94301-1900

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41)..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number:

08-1641

04/27/2000 TTOM11 00000022 081641 09173864

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William Schmonsees
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: [4]

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ASSIGNMENT

OFFICE OF PETITIONS

WHEREAS, We, Robert D. Ivarie, Julie A. Morris, and ~~Robert D. Ivarie, Julie A. Morris, and~~ invented certain subject matter entitled "Novel Vectors in Avian Transgenesis" (the "Invention") for which We have executed Attorney Docket No. 24011-0002, now U.S. Application No. 09/173,864, filed October 16, 1998, for Letters Patent of the United States of America;

AND WHEREAS, The University of Georgia Research Foundation, Inc., having an address at Boyd Graduate Studies Research Center, Athens, Georgia 30602-7411 ("UGARF") desires to perfect its right to the Invention and in the Letters Patent to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We acknowledge UGARF's interest in the Invention and hereby assign to UGARF for the United States of America and for all countries foreign thereto, all my rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in Application No. 09/173,864, or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent to be owned by UGARF successors,

assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.

This assignment is effective as of the earlier of: (a) the date of our signatures hereto, or (b) the filing date accorded by the United States Patent and Trademark Office to Application No. 09/173,864, as indicated in the Filing Receipt for said application.

I have read and understand this Assignment document for Application No. 09/173,864, and agree hereto, as indicated by my signature as set forth below.

Signature Robert D. Ivarie Date 8.9.99
Robert D. Ivarie

Address: 54 Jackson Street, Watkinsville, GA 30677

Signature Julie A. Morris Date 8.10.99
Julie A. Morris

Address: 54 Jackson Street, Watkinsville, GA 30677

Signature _____ Date _____
Guodong Liu

Address: 802-3085 Queen Frederica Drive, Mississagua, Ontario, L4Y 3A4, Canada

assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.

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Signature _____ Date _____
Robert D. Ivarie

Address: 54 Jackson Street, Watkinsville, GA 30677

Signature _____ Date _____
Julie A. Morris

Address: 54 Jackson Street, Watkinsville, GA 30677

Signature Li Guodong Date Aug. 10, 1999
Guodong Liu

Address: 802-3085 Queen Frederica Drive, Mississagua, Ontario, L4Y 3A4, Canada